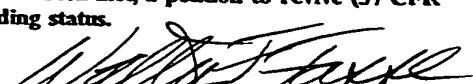


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|---|--|---|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 12-2001) | | ATTORNEY'S DOCKET NUMBER 4857 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5 to be assigned new 10/528912 |
| INTERNATIONAL APPLICATION NO. PCT/DE2003/002860 | INTERNATIONAL FILING DATE 28 August 2003 (28.08.2003) | PRIORITY DATE CLAIMED 27 September 2002 (27.09.2002) |
| TITLE OF INVENTION Plastifying Screw For An Extruder Or A Forcing Machine, Having A Narrower Thread Width In The Transition Region | | |
| APPLICANT(S) FOR DO/EO/US Friedrich MUELLER | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). The Translation <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto, along with a Translator's Declaration. is accurate. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)), executed 2 - pages <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, copy of Int. Search Report, 2 references, 1 Eng. abstract <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. a return receipt postcard; b. Form PTO-2038 to cover the filing fee & fee for Assignment Recordal; c. 4 Figs. on 2 sheets of formal drawings. | | |
| NOTE: The priority of German Patent Application 102 45 278.4 filed in the Fed. Rep. of Germany on September 27, 2002 is claimed for the present Application under 35 U.S.C. §119. | | |
| NOTE: The entire disclosure of PCT International Application PCT/DE2003/002860 is incorporated herein by reference. | | |
| NOTE: This Application has been assigned to: Lindauer Dornier Gesellschaft mbH of: Rickenbacherstrasse 119, D-88129 Lindau, Fed. Rep. of Germany. The Assignment is being submitted herewith for recordal. | | |
| NOTE: Please print the Assignee data with the Published Application. | | |
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| MARCH 23 2005 | | |
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| U.S. APPLICATION NUMBER to be assigned - new 107328-912 | | INTERNATIONAL APPLICATION NO. PCT/DE2003/002860 | ATTORNEY'S DOCKET NUMBER 4857 | | | | | | | | | | | | | | | | | | | | |
|---|--------------|--|---|--------------|------|------|--------------|----------|---|-----------|------|--------------------|---------|---|-----------|------|--|--|--|------------|------|--|--|
| <p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO X</p> <p>National Phase filing fee (\$300), Search fee (\$400), Examination fee (\$200) = \$900.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO X</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) X</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) X</p> | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | | | | | |
| <p align="center">ENTER APPROPRIATE BASIC FEE AMOUNT = 900.00</p> | | \$ 900.00 | | | | | | | | | | | | | | | | | | | | | |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> | | \$ 0 | | | | | | | | | | | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th>\$ 0</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>7 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td>\$ 0</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$84.00</td> <td>\$ 0</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$280.00</td> <td>\$ 0</td> </tr> </tbody> </table> | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ 0 | Total claims | 7 - 20 = | 0 | x \$18.00 | \$ 0 | Independent claims | 1 - 3 = | 0 | x \$84.00 | \$ 0 | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$ 0 | TOTAL OF ABOVE CALCULATIONS = \$900.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ 0 | | | | | | | | | | | | | | | | | | | |
| Total claims | 7 - 20 = | 0 | x \$18.00 | \$ 0 | | | | | | | | | | | | | | | | | | | |
| Independent claims | 1 - 3 = | 0 | x \$84.00 | \$ 0 | | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$ 0 | | | | | | | | | | | | | | | | | | | |
| <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> | | + \$ 0 | | | | | | | | | | | | | | | | | | | | | |
| | | SUBTOTAL = \$900.00 | | | | | | | | | | | | | | | | | | | | | |
| <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> | | \$ 0 | | | | | | | | | | | | | | | | | | | | | |
| | | TOTAL NATIONAL FEE = \$900.00 | | | | | | | | | | | | | | | | | | | | | |
| <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> | | \$ 40.00 | | | | | | | | | | | | | | | | | | | | | |
| | | TOTAL FEES ENCLOSED = \$940.00 | | | | | | | | | | | | | | | | | | | | | |
| | | Amount to be refunded: \$ | | | | | | | | | | | | | | | | | | | | | |
| | | charged: \$ | | | | | | | | | | | | | | | | | | | | | |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0507</u>. X INDICATE COPY ON FOX XEROX KODAK RICOH</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | | | | | | | | | | | | | | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>The Power of Attorney and the Correspondence Address for this Application are to CUSTOMER NO.: 021553.</p> | | | | | | | | | | | | | | | | | | | | | | | |
|  SIGNATURE Walter F. Fasse - 3/22/05 NAME 36132 REGISTRATION NUMBER | | | | | | | | | | | | | | | | | | | | | | | |